

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

Revised

1. File Number U - 332 /	2. Fiscal Year Covered From: 1 / 1 / 2004 Through: 12 / 31 / 2004
3. Name and address of person filing. Name PATRICK J. SWEENEY P.O. Box, Bldg., Room No., if any Street 14551 EDDINGTON DR City CHESTERFIELD State Missouri ZIP Code + 4 63107-2521	4. Name, file number, and address of labor organization. Name CARPENTERS DISTRICT COUNCIL OF ST LOUIS Labor Organization File Number 002637 P.O. Box, Building and Room Number, if any Street 1401 HAMPTON AVE City ST LOUIS State Missouri ZIP Code + 4 63139-3159
5. Position in labor organization. ASST. EXECUTIVE SECRETARY-TREASURER	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7. a. Nature of Interest, Transaction, or Income. 7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

Patrick J. Sweeney

On

8/11/05
Date

314-644-4860 EXT 222
Telephone Number

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name **COMMERCE BANK**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street **8000 FORSYTH**

City **CLAYTON**

State **Missouri** ZIP Code + 4 **63105-1707**

9. Business deals with:

- ☐ a. Labor Organization
- ☒ b. Trust
- ☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **CARPENTERS PENSION TRUST FUND OF ST. LOUIS**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street **1401 HAMPTON AVE**

City **ST. LOUIS**

State **Missouri** ZIP Code + 4 **63139-3159**

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS.

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

4/28/04 ANNUAL CLIENT BASEBALL GAME

12.b. Amount.

\$109

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☒ or Consultant ☐ ?

14.b. Amount of payment.

Name of Person Filing PATRICK SWEENEY

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name MORGAN STANLEY

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 440 SOUTH LASALLE STREET

City CHICAGO

State Illinois ZIP Code + 4 60605-1028

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

MORGAN STANLEY IS A MONEY MANAGER FOR THE
CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$129,393

12.a. Nature of interest held or income received.

12/3/04 DINNER AT IFEEF CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$136

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INVESCO-NAM
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street 400 W. MARKET ST. STE. 2500
City LOUISVILLE
State Kentucky ZIP Code + 4 40202-3349

9. Business deals with:

- ☐ a. Labor Organization
☒ b. Trust
☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street 1401 HAMPTON AVE.
City ST. LOUIS
State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

INVESCO-NAM IS A MONEY-MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$334,308

12.a. Nature of interest held or income received.

12/2/04 DINNER AT IFEBP CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$133

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name APPLETON, KRETMAR, BEATTY, & STOLZE

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8000 MARYLAND AVE STE # 900

City ST LOUIS

State Missouri ZIP Code + 4 63105-3911

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

ATTORNEY FOR CARPENTERS DISTRICT COUNCIL

11.b. Approximate dollar value of such dealing.

\$98,663

12.a. Nature of interest held or income received.

10/8/04 GOLF OUTING

12.b. Amount.

\$100

Name of Person Filing PATRICK SWEENEY

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

THE CARPENTERS PENSION TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

Unknown

12.a. Nature of interest held or income received.

NOV 04 ATTENDANCE AT IN IFEBP CONFERENCE

12.b. Amount.

\$1,263

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE.

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

THE CARPENTERS HEALTH & WELFARE TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

unknown

12.a. Nature of interest held or income received.

NOV 04 ATTENDANCE AT IN IFEBP CONFERENCE

12.b. Amount.

\$1,263

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS VACATION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE.

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

THE CARPENTERS VACATION TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

unknown

12.a. Nature of interest held or income received.

NOV 04 ATTENDANCE AT IN IFEEP CONFERENCE

12.b. Amount.

\$1,263

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8000 FORSYTH

City CLAYTON

State Missouri ZIP Code + 4 63105-1707

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY-MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS.

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

10/7/04 BASEBALL TICKET

12.b. Amount.

\$37

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8000 FORSTH

City CLAYTON

State Missouri ZIP Code + 4 63105-1707

9. Business deals with:

☐ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

11/23/04 HOLIDAY HAM CERTIFICATE

12.b. Amount.

\$50

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK
Trade Name, if any:
P.O. Box, Bldg., Room No., if any
Street 8000 FORSYTH
City CLAYTON
State Missouri ZIP Code + 4 63105-1707

9. Business deals with:

- ☐ a. Labor Organization
☒ b. Trust
☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS
Trade Name, if any:
P.O. Box, Bldg., Room No., if any
Street 1401 HAMPTON AVE
City ST. LOUIS
State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

12/1/04 DINNER AT THE IFEBP CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$186

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INVESCO-NAM

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 400 W. MARKET ST. STE 2500

City LOUISVILLE

State Kentucky ZIP Code + 4 40202-3349

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

INVESCO-NAM IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$334,308

12.a. Nature of interest held or income received.

DEC 04 USA MADE ROLLING COOLER - CHRISTMAS GIFT

12.b. Amount.

\$48

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8000 FORSYTH

City CLAYTON

State Missouri ZIP Code + 4 63105-1707

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY-MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

12/25/04 HOLIDAY POINSETTIA BASKET

12.b. Amount.

\$34

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name HEALTHLINK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 12443 OLIVE BLVD

City ST. LOUIS

State Missouri ZIP Code + 4 63141-6432

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

HEALTHLINK WAS A PROVIDER OF HEALTH INSURANCE SERVICES TO THE CARPENTERS HEALTH & WELFARE TRUST FUND.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

SEPT. 04 GOLF TOURNAMENT

12.b. Amount.

\$165

Name of Person Filing PATRICK SWEENEY

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name HEALTHLINK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 12443 OLIVE BLVD

City ST LOUIS

State Missouri ZIP Code + 4 63141-6432

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9. b. or 9. c. is checked give trust or employer's name.

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

HEALTHLINK WAS A PROVIDER OF HEALTH INSURANCE SERVICES TO THE CARPENTERS HEALTH & WELFARE TRUST FUND.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

SEPT 04 RAMS FOOTBALL GAME

12.b. Amount.

\$160

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name ROCKWOOD CAPITAL ADVISORS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 S. BRENTWOOD STE 400

City ST LOUIS

State Missouri ZIP Code + 4 63144-1440

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

ROCKWOOD CAPITAL ADVISORS IS A MONEY MANAGER FOR THE CARPENTERS HEALTH & WELFARE TRUST FUND

11.b. Approximate dollar value of such dealing.

\$73,138

12.a. Nature of interest held or income received.

11/28/04 GOLF-OUTING

12.b. Amount.

\$150

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SIERRA INVESTMENT PARTNERS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 2625 BUTTERFIELD RD STE 138S

City OAKBROOK

State Illinois ZIP Code + 4 60523-1244

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

SIERRA INVESTMENT PARTNERS IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST LOUIS

11.b. Approximate dollar value of such dealing.

\$75,192

12.a. Nature of interest held or income received.

12/2/04 GOLF OUT FOR SPOUSE

12.b. Amount.

\$194

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SIERRA INVESTMENT PARTNERS
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street 2625 BUTTERFIELD RD STE 138
City OAKBROOK
State Illinois ZIP Code + 4 60523-1244

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street 1401 HAMPTON AVE
City ST. LOUIS
State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

- ☐ a. Labor Organization
☒ b. Trust
☐ c. Employer

11.a. Nature of such dealing.

SIERRA INVESTMENT PARTNERS IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$75,192

12.a. Nature of interest held or income received.

9/22/04 GOLF OUTING

12.b. Amount.

\$71

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SIERRA INVESTMENT PARTNERS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 2625 BUTTERFIELD RD., STE 138 SOUTH

City OAK BROOK

State Illinois ZIP Code + 4 60523-1244

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE.

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

SIERRA INVESTMENT PARTNERS IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST. LOUIS.

11.b. Approximate dollar value of such dealing.

\$75,192

12.a. Nature of interest held or income received.

12/04 HOLIDAY BOTTLE OF WINE

12.b. Amount.

\$49